

05284.P001



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7 2185
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peter M. Dickstein, et al.

Serial No.: 09/752,650

Filed: December 29, 2000

For: SYSTEM AND METHOD TO ORGANIZE AND
MANAGE CORPORATE CAPITALIZATION
AND SECURITIES

Steven N. Meyers
Special Programs Examiner
Technology Center 3600
Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Examiner: Unassigned

Art Unit: 2185

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Technology Center 2100

REQUEST FOR RECONSIDERATION IN A PETITION
TO MAKE SPECIAL FOR PENDING APPLICATION
[37 C.F.R. § 1.102(d) / MPEP § 708.2, II]

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GROUP 3600

Dear Sir:

06/18/2003 HVUONG1 00000028 022666 09752650
01 FC:1460 130.00 DA

In response to the Decision on Petition to Make Special (Infringement) mailed May 6, 2003, the Applicants request reconsideration of the Decision. The Petition was dismissed on the basis that Applicants allegedly failed to send a copy of the references deemed most closely related to the subject matter encompassed by the claims.

Applicants have fully complied with the requirement of MPEP 708.02 2(c) that the most closely related art be submitted. In an Information Disclosure Statement filed in the present case on October 1, 2002, Applicants did in fact submit the most closely related art as required under MPEP 708.02 2(c). Enclosed for the Examiner's review is a resubmission by copy of the

Information Disclosure Statement, PTO Form 1449, cited reference, postcard copy of the submission of October 1, 2002, and a copy of the return postcard showing that the cited reference was received by the Patent Office on October 7, 2002.

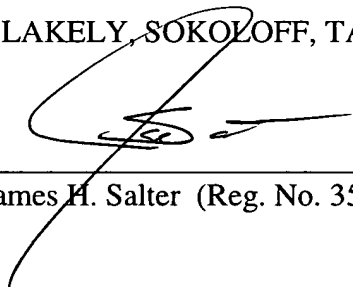
For these reasons, Applicants respectfully request withdrawal of the May 6, 2003 Decision and the granting of the subject Petition to Make Special.

The petition fee of \$130 was previously submitted with the December 17, 2002 petition. If there are any additional charges, please charge them to our Deposit Account Number 02-2666. If a telephone conference would facilitate the processing of this petition, the Examiner is invited to contact Jim H. Salter at (408) 720-8300.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 6/6/, 2003


James H. Salter (Reg. No. 35,668)

Customer No. 008791
12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Steven N. Meyers, Special Programs Examiner, Technology Center 3600, Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 13, 2003.

Barbara Skliba

Name of Person Mailing Correspondence

Barbara Skliba
Signature

6/13/03
Date



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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Serial/Patent No.: 09/752,650 Filing/Issue Date: 12/29/2000
Client: eProsper
Title: System And Method To Organize And Manage Corporate Capitalization And Securities
BSTZ File No.: 05284.P001 Atty/Secty Initials: JHS/cmw
Date Mailed: 10/01/2002 Docket Due Date: *****

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | | |
|--|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.) | <input type="checkbox"/> Express Mail No.: _____ | <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) | <input type="checkbox"/> _____ Month(s) Extension of Time | Amt: _____ |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract) | <input checked="" type="checkbox"/> Information Disclosure Statement & PTO-1449 (<u>3</u> pgs.) | <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) | <input type="checkbox"/> Issue Fee Transmittal | Amt: _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) | <input type="checkbox"/> Notice of Appeal | |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) | <input type="checkbox"/> Petition for Extension of Time | |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) | <input type="checkbox"/> Petition for _____ | |
| <input type="checkbox"/> Application - Design (____ pgs.) | <input checked="" type="checkbox"/> Postcard | |
| <input type="checkbox"/> Application - PCT (____ pgs.) | <input type="checkbox"/> Power of Attorney (____ pgs.) | |
| <input type="checkbox"/> Application - Provisional (____ pgs.) | <input type="checkbox"/> Preliminary Amendment (____ pgs.) | |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Reply Brief (____ pgs.) | |
| <input checked="" type="checkbox"/> Certificate of Mailing | <input type="checkbox"/> Response to Notice of Missing Parts | |
| <input type="checkbox"/> Declaration & POA (____ pgs.) | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business | |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate | |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures | <input type="checkbox"/> Fee Transmittal, in duplicate | |

☒ Other: Copy of one cited reference.



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Serial/Patent No.: 09/752,650 Filing/Issue Date: 12/29/2000
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| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate | |
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Attorney's Docket No. 05284.P001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)

Peter M. Dickstein et al.)

Application No.: 09/752,650)

Filed: December 29, 2000)

For: System And Method To Organize)
And Manage Corporate)
Capitalization And Securities)

Confirmation No.: 1500

Art Unit: 2185

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Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on October 1, 2002

(Date of Deposit)

Claire Wallters

(Typed or printed name of person mailing correspondence)

Claire Wallters 10/1/2002

(Signature of person mailing correspondence)

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Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of

the appropriate paragraph):



XX 37 C.F.R. §1.97(b).

____ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

____ A statement pursuant to 37 C.F.R. §1.97(e) or

____ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

____ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

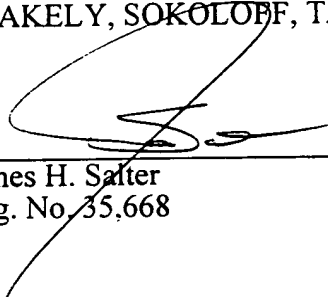
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 10/1/, 2002



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